Case 2:04-cv-00085-DF

Document 36

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Doc. 36 Att. 4

Exhibit 4



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA, VA 22313-1450 www.uspto.go

Ex Parte Reexamination Filing Data - September 30, 2005

1.	Total requests filed sinc	e start of e	x parte ree	exam on 07/0	1/81	•••••	•••••	. 7743
	a. By patent ownerb. By other memberc. By order of Com	of public missioner					3221 4357 165	42% 56% 2%
2.	Number of filings by dis	scipline						
	a. Chemical Operationb. Electrical Operationc. Mechanical Operation	ion					2420 2457 2866	31% 32% 37%
3.	Annual Ex Parte Reexan	m Filings						
	Fiscal Yr. No.	Fiscal Yr	· No	Fiscal Yr.	No	Fiscal '	Yr. No.	
	1981 78 (3 mos.)	1989	243	1997	376	2005	524	
	1982 187`	1990	297	1998	350			
	1983 186	1991	307	1999	385			
	1984 189	1992	392	2000	318			
	1985 230 1986 232	1993 1994	359 379	2001 2002	296 272			
	1980 232	1994	379	2002	392			
	1987 240	1996	418	2003	441			
4.	Number known to be in	litigation.				•••••	1705	22%
5.	Determinations on requ	ests	•••••	•••••	•••••	•••••		.7510
	a. No. granted	•••••	•••••	•••••	••••••	6846		91%
	(1) By examiner(2) By Director (on petition)						6740 106	
	b. No. denied	•••••	• • • • • • • • • • • • • • • • • • • •	•••••		664		9%
	(1) By examiner(2) Order vacated						629 35	

6.	Total examiner denials (includes denials reversed by Director)											
	a. b.	Patent owner requester Third party requester			419 316	57% 43%						
7.	V. Overall reexamination pendency (Filing date to certificate issue date)											
	a. b.	Average pendency Median pendency	21.9 (mos. 17.2 (mos.									
8.	Ree	xam certificate claim analysis:	Owner Requester	3rd Party Requester	Comm'r Initiated	Overall						
	b.	All claims confirmed All claims cancelled Claims changes	23% 7% 70%	29% 12% 59%	13% 19% 68%	26% 10% 64%						
9.	9. Total ex parte reexamination certificates issued (1981 - present)											
	b.	Certificates with all claims confirm Certificates with all claims cancele Certificates with claims changes	1363 526 3320	26% 10% 64%								
10. Reexam claim analysis - requester is patent owner or 3rd party; or Comm'r initiated.												
	a.	Certificates _ PATENT OWNER I	REQUESTER.	••••••		2272						
		 All claims confirmed All claims canceled Claim changes 			527 164 1581	23% 7% 70%						
	b.	Certificates _ 3rd PARTY REQUE	ESTER			2803						
		 All claims confirmed All claims canceled Claim changes 			819 332 1652	29% 12% 59%						
	c.	Certificates _ COMM'R INITIAT	ED REEXAM			134						
		 All claims confirmed All claims canceled Claim changes 			17 26 91	13% 19% 68%						